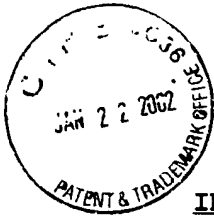


COPY OF PAPERS  
ORIGINALLY FILED



2300  
AA  
Dkt. 59597-E/JPW/AJM

SECTOR  
✓  
H

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Neil T. Parkin and Rainer A. Ziermann  
U.S. Serial No.: 09/874,472  
Filed : June 4, 2001  
For : MEANS AND METHODS FOR MONITORING PROTEASE  
INHIBITOR ANTIRETROVIRAL THERAPY AND GUIDING  
THERAPEUTIC DECISIONS IN THE TREATMENT OF  
HIV/AIDS

1185 Avenue of the Americas  
New York, New York 10036  
November 16, 2001

Assistant Commissioner For Patents  
Washington, D.C. 20231

ATTN: Box Missing Parts

SIR:

**COMMUNICATION IN RESPONSE TO  
AUGUST 17, 2001 NOTICE TO FILE MISSING PARTS  
AND PETITION FOR A ONE-MONTH EXTENSION OF TIME**

This Communication is submitted in response to an August 17, 2001 Notice To File Missing Parts Of Nonprovisional Application issued in connection with the above-identified application. A response to the Notice was originally due on October 17, 2001. Applicants hereby request a one-month extension of time for responding. The fee for a one-month extension is \$55.00 for a small entity, and small entity status has already been established. A check for \$120.00 is enclosed, which amount includes the \$55.00 extension fee and a \$65.00 declaration surcharge. A response to the Notice is now due on November 17, 2001, and accordingly, this Communication is being timely filed.

01/25/2002 BSAYAS11 00000096 09874472

02 FC:215

55.00 OF

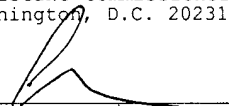
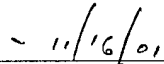
Applicants: Neil T. Parkin and Rainer A. Ziermann  
Serial No.: 09/874,472  
Filed: June 4, 2001  
Page 2

The Notice, a copy of which is attached hereto as Exhibit A, indicates that the oath or declaration is unsigned. In response, applicants submit as Exhibit B a signed Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53(f). In compliance with 37 C.F.R. §1.63, the Declaration refers to the application's above-identified serial number and filing date. The Notice also requires payment of a \$65.00 surcharge, and this surcharge amount is included in the enclosed check for \$120.00.

No fee, other than the enclosed \$120.00 sum, is deemed necessary in connection with the filing of this Communication. If any additional fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,



I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
 Alan J. Morrison Reg. No. 37,399	 Date

John P. White  
Registration No. 28,678  
Alan J. Morrison  
Registration No. 37,399  
Attorneys for Applicants  
Cooper & Dunham, LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/874,472	06/04/2001	Neil T. Parkin	2793/59597-E

Cooper & Dunham, LLP  
1185 Avenue of the Americas  
New York, NY 10036



CONFIRMATION NO. 9210

## FORMALITIES LETTER



\*OC000000006439238\*

Date Mailed: 08/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable

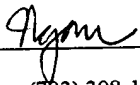
form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE